

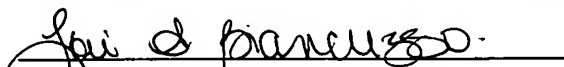
DOCKET NO: R0586-706030

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dow Hardy  
Serial No: 11/647,528  
Confirmation No: 9300  
Filed: December 28, 2006  
For: METHOD AND APPARATUS FOR CONDUCTING A GAME  
OF CHANCE  
  
Examiner: Not Yet Assigned  
Art Unit: 3714

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 30 day of March, 2007.

  
Lori A. Biancuzzo

**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

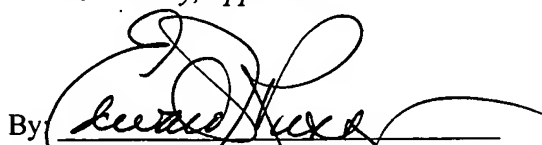
Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement Filed Pursuant to the Duty of Disclosure Under 37 CFR §§1.56, 1.97 and 1.98
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

Respectfully submitted,  
*Dow Hardy, Applicant*

By   
Edward J. Russavage, Reg. No. 43,069  
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Date: March 30, 2007



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**Mail Stop Amendment**  
Commissioner for Patents  
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Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

Serial No.	Filing Date	First Named Inventor(s)	OUR FILE
11/027,007	12-30-2004	Steven Kane	7019.10
10/910,564	08-03-2004	Steven Kane	7020.19
11/002,727	11-30-2004	Steven Kane	7029.10
11/130,796	05-16-2005	Steven Kane	7029.30/7065.30
11/181,672	07-14-2005	Steven Kane	7053.30
11/249,017	10-11-2005	Steven Kane	7059.30
11/001,775	11-30-2004	Steven Kane	7030.10
11/133,153	05-19-2005	Steven Kane	7030.30
11/229,004	09-16-2005	Steven Kane	7030.31/7068.31
11/325,606	01-04-2006	Steven Kane	7028.30
11/002,997	11-30-2004	Steven Kane	7034.10
11/291,109	11-30-2005	Scott Weller	7040.10
11/003,016	11-30-2004	Steven Kane	7041.10
11/002,986	11-30-2004	Steven Kane	7042.10
11/027,474	12-30-2004	Steven Kane	7043.10

Serial No.	Filing Date	First Named Inventor(s)	OUR FILE
11/027,756	12-30-2004	Steven Kane	7044.10
11/130,798	05-16-2005	Steven Kane	7044.30/7064.30
11/027,894	12-30-2004	Steven Kane	7045.10
11/647,549	12-28-2006	Dow Hardy	7050.30
11/636,411	12-08-2006	Steven Kane	7058.30
11/656,890	01-23-2007	Steven Kane	706130

The Applicant would like to bring to the Examiner's attention the enclosed search report from a corresponding International or Foreign National Application.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

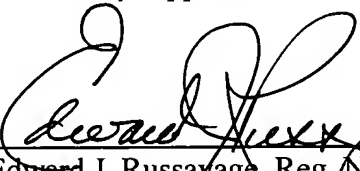
By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

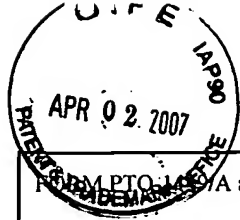
Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,  
*Dow Hardy, Applicant*

By:   
Edward J. Russavage, Reg. No. 43,069  
Matthew H. Grady, Reg. No. 52,957  
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Date: March 30, 2007



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	APPLICATION NO.: 11/647,528		ATTY. DOCKET NO.: R0586-706030		
	FILING DATE: December 28, 2006		CONFIRMATION NO.: 9300		
	APPLICANT: Dow Hardy				
	GROUP ART UNIT: 3714		EXAMINER: Not Yet Assigned		
Sheet	1	of	5		

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		*4,582,324		Koza et al.	4/15/1986
		*4,679,789		Okada	7/14/1987
		*4,725,079		Koza et al.	2/16/1988
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		*5,860,653		Jacobs	1/19/1999
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		*5,882,258		Kelly et al.	3/16/1999
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		*6,044,135		Katz	3/28/2000
		*6,059,289		Vancura	5/9/2000

EXAMINER	DATE CONSIDERED
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Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided either because it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications) or because a copy of this reference need not be provided as the reference is a published U.S. Patent or U.S. Patent application and the present application was filed after June 30, 2003.

<b>FORM PTO-1449/A and B (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				APPLICATION NO.: 11/647,528		ATTY. DOCKET NO.: R0586-706030	
				FILING DATE: December 28, 2006		CONFIRMATION NO.: 9300	
				APPLICANT: Dow Hardy			
				GROUP ART UNIT: 3714		EXAMINER: Not Yet Assigned	
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		*6,607,439	B2	Schneier et al.	8/19/2003
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		*6,612,574	B1	Cole et al.	9/2/2003
		*6,619,660	B2	Schaefer et al.	9/16/2003

EXAMINER	DATE CONSIDERED
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		GROUP ART UNIT: 3714		EXAMINER: Not Yet Assigned	
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		*2003/0102625	A1	Katz et al.	6/5/2003
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		*5,928,082		Clapper, Jr. et al.	7/27/1999

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Sheet	5	of	5		

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		*6,843,724	B2	Walker, et al.	1/18/2005
		*6,692,353	B2	Walker, et al.	2/17/2004
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#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
		WO	91/06931 PCT/F190/00254		Raha-Au-Tomaattiyhdistys	5/16/1991	

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
		<b>Rules of Pai Gow Poker. Casino City. 12/3/2000. Online:</b> <a href="http://web.archive.org/web/20001203170300/http://www.casinocity.com/rule/paigow.htm">http://web.archive.org/web/20001203170300/http://www.casinocity.com/rule/paigow.htm</a>	

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